

Impredicativity, Cumulativity and Product Covariance in the Logical Framework DEDUKTI

Thiago Felicissimo, Théo Winterhalter

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DEDUKTI

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Generic proof-checker An encoding of $\mathcal{L}$ in DEDUKTI $\leadsto$ a proof-checker for $\mathcal{L}$

Allows cross-checking of proofs coming from proof assistants, ATPs, etc

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A framework for sharing proofs

- Fermat's Little Theorem in MATITA $\leadsto$ in COQ, PVS, LEAN (Thiré 2018)
- Euclid's first book in COQ $\leadsto$ in MATITA, PVS, LEAN (Géran 2021)
- Bertrand's "Postulate" in MATITA $\leadsto$ in AGDA (Felicissimo *et al.* 2023)
- etc

The cumulative calculus of constructions (CC)

The underlying *cumulative type system* of the proof assistant Coq

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Combines three important features:

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Impredicativity

$$\Gamma, x : A \vdash_{\text{CC}} P : \text{Prop} \quad \rightsquigarrow \quad \Gamma \vdash_{\text{CC}} \Pi x : A. P : \text{Prop} \quad \text{for all } A, \Gamma$$

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$$\Gamma \vdash_{\text{CC}} A : \text{Type}_0 \quad \rightsquigarrow \quad \Gamma \vdash_{\text{CC}} A : \text{Type}_1$$

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Notation From now on, I write U_0 for Prop and U_{n+1} for Type_n

Encoding CC in DEDUKTI?

	Assaf*	Assaf <i>et al.</i>	Thiré*	Férey ⁺

*: Also handles other cumulative type systems. +: Also supports universe polymorphism.

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Soundness $\Gamma \vdash_{\text{CC}} t : A$ implies $\llbracket \Gamma \rrbracket \vdash \llbracket t \rrbracket : \llbracket A \rrbracket$ in DEDUKTI

Where $\llbracket - \rrbracket : \text{CC} \rightarrow \text{DEDUKTI}$ is the translation function

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★: In a restricted form.

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Confluence Combines various classical results and techniques, and automated confluence/termination checkers

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Confluence Combines various classical results and techniques, and automated confluence/termination checkers

Soundness Fixes aforementioned problems by adopting different technique
Soundness is stated and proved in terms of inverse translation function

The Theory T_{cc}

The basis of the encoding: universes

$\mathbb{N} : \mathbf{Type}$

$U : (l : \mathbb{N}) \rightarrow \mathbf{Type}$

$0 : \mathbb{N}$

$S : \mathbb{N} \rightarrow \mathbb{N}$

Let $\underline{n} := S^n 0$. Then U_0 (Prop) represented by $U_{\underline{0}}$, and U_{n+1} ($\mathbf{Type}_n$) represented by $U_{\underline{n+1}}$

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Terms A of type $U_{\underline{n}}$ are *codes* for CC types, whose *elements* are given by $El_{\underline{n}} A$

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$$\mathfrak{U} : \mathbb{N} \rightarrow \mathbb{N}$$
$$\dots$$
$$u : (l : \mathbb{N}) \rightarrow U_{(\mathfrak{U} \ l)}$$
$$El_{(_)} u_1 \longmapsto U_1$$

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$\mathfrak{R} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$

...

...

$u : (l : \mathbb{N}) \rightarrow U_{(\mathfrak{U} \ l)}$

$\pi : (l_1 \ l_2 : \mathbb{N}) \rightarrow (A : U_{l_1}) \rightarrow (B : El_{l_1} A \rightarrow U_{l_2}) \rightarrow U_{(\mathfrak{R} \ l_1 \ l_2)}$

$El_{(_)} u_1 \mapsto U_1$

$El_{(_)} (\pi_{1_1, 1_2} A \ \lambda x. B\{x\}) \mapsto (x : El_{1_1} A) \rightarrow El_{1_2} B\{x\}$

Cumulativity

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Cumulativity subtype relation $\subset$ Defined by

1. "Simple" cumulativity: $U_n \subset U_m$ when $n \leq m$
2. Product covariance: If $A \subset B$ then $\Pi x : C.A \subset \Pi x : C.B$

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$(El_l A \rightarrow \text{Tele}) \rightarrow \text{Tele} \quad (A_{l_2} \triangleleft \lambda x. D\{x\}) \Rightarrow l_1 \mapsto (x : El_{l_2} A) \rightarrow D\{x\} \Rightarrow l_1$

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We can then define the lift as

$$\uparrow : (l : \mathbb{N}) \rightarrow (D : \text{Tele}) \rightarrow (D \Rightarrow l) \rightarrow (D \Rightarrow (S \ l))$$

Assaf's full reflection equations

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In a setting without product covariance, Assaf identified the following equations, where $\uparrow_{\underline{n}}^m D t$ is a notation for $\uparrow_{\underline{m-1}} D (\dots(\uparrow_{\underline{n}} D t)\dots)$ when $n \leq m$

$$\begin{aligned}\pi_{\underline{1+n}, \underline{m}} (\uparrow_{\underline{n}} \diamond A) (\lambda x. B) &\equiv \uparrow_{\underline{\Re(n, m)}}^{\Re(1+n, m)} \diamond (\pi_{\underline{n}, \underline{m}} A (\lambda x. B)) \\ \pi_{\underline{n}, \underline{1+m}} A (\lambda x. \uparrow_{\underline{m}} \diamond B) &\equiv \uparrow_{\underline{\Re(n, m)}}^{\Re(n, 1+m)} \diamond (\pi_{\underline{n}, \underline{m}} A (\lambda x. B))\end{aligned}$$

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Problematic as (finite) rewrite rules Multi-step lift $\uparrow_{\underline{n}}^m$ only a notation

How to know correct number of lifts when applying the rule?

A factorizing π

Solution We replace the constant π with the following one

$$\pi : (l_0 \ l_1 \ l_2 : \mathbb{N}) \rightarrow (A : \mathbf{U}_{(l_0 + l_1)}) \rightarrow (B : \mathbf{El}_{(l_0 + l_1)} \ A \rightarrow \mathbf{U}_{(l_0 + l_2)}) \rightarrow \mathbf{U}_{(\mathfrak{R} \ (l_0 + l_1) \ (l_0 + l_2))}$$

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If A in $\mathbf{U}_{\underline{n_A}}$ and B in $\mathbf{U}_{\underline{n_B}}$, common part of n_A and n_B can be *factorized* with rule:

$$\pi_{(\mathbf{S} \ 1_1), (\mathbf{S} \ 1_2)}^{1_0} A \ B \longmapsto \pi_{1_1, 1_2}^{(\mathbf{S} \ 1_0)} A \ B$$

Now, if $\pi_{\underline{n_1}, \underline{n_2}}^{n_0} A \ \lambda x. B$ in normal form, then $n_1 = 0$ or $n_2 = 0$

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We can consider all possible cases, in each one we know how many lifts to insert

$$\pi_{(\mathbf{S} \ 1), 0}^0 (\uparrow_- \diamond A) B \mapsto \pi_{1, 0}^0 A B \quad (\dots)$$

$$\pi_{0, (\mathbf{S} \ 1_2)}^{1_1} A (\lambda x. \uparrow_- \diamond B\{x\}) \mapsto \uparrow_{(1_1+1_2)} \diamond (\pi_{0, 1_2}^{1_1} A (\lambda x. B\{x\})) \quad (\dots)$$

What about product covariance?

Previous rules simulate Assaf's equations, encoding "simple" cumulativity

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We add the following rules:

$$\uparrow_1 (_ (_) \triangleleft \lambda x. D\{x\}) \lambda x. t\{x\} \longmapsto \lambda x. \uparrow_1 D\{x\} t\{x\}$$

$$(\uparrow_1 (_ (_) \triangleleft \lambda x. D\{x\}) t) u \longmapsto \uparrow_1 D\{u\} (t u)$$

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Finally, codes in different universes may represent the same type, so we add:

$$El_1 (\uparrow_ \diamond A) \mapsto El_{(P\ 1)} A$$

$$(\uparrow_ \diamond A)_1 \triangleleft D \mapsto A_{(P\ 1)} \triangleleft D$$

Confluence and subject reduction

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$$\beta\mathcal{R}_{\text{cc}} = \beta\mathcal{R}_1 \cup \mathcal{R}_2$$

No critical pairs between $\beta\mathcal{R}_1$ and $\mathcal{R}_2$, and both are left-linear

By Van Oostrom and Van Raamsdonk's *orthogonal combination criterion*, it suffices to show that both $\beta\mathcal{R}_1$ and $\mathcal{R}_2$ are confluent

Confluence of $\mathcal{R}_2$: the easy part

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By Nipkow's *critical pair criterion*, locally confluent

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By Newman's Lemma, $\mathcal{R}_2$ is confluent

Confluence of $\beta\mathcal{R}_1$: the hard part

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Problem 1 Cannot apply Newman's lemma because β not SN

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Problem 1 Cannot apply Newman's lemma because β not SN

Problem 2 Cannot apply orthogonality criteria, because of critical pairs

$$\begin{array}{ccc}
 \uparrow_1 (_ (_) \blacktriangleleft \lambda x : C.D\{x\}) (\lambda x : A.t\{x\}) u & \xrightarrow{\uparrow_{@}} & \uparrow_1 D\{u\} ((\lambda x : A.t\{x\}) u) \\
 \downarrow \uparrow_{\lambda} & & \downarrow \beta \\
 (\lambda x : A.\uparrow_1 D\{x\} t\{x\}) u & \xrightarrow{\beta} & \uparrow_1 D\{u\} t\{u\}
 \end{array}$$

Confluence of $\beta\mathcal{R}_1$: the hard part

Problem 1 Cannot apply Newman's lemma because β not SN

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Solution We can still show that *orthogonal rewriting*¹ $\Rightarrow_{\beta\mathcal{R}_1}$ satisfies diamond prop.

By the usual argument, $\Rightarrow_{\beta\mathcal{R}_1}^* = \longrightarrow_{\beta\mathcal{R}_1}^*$, hence $\beta\mathcal{R}_1$ is confluent

¹Also known as *developments* or *multi-step rewriting* or *simultaneous rewriting*

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Problem Not all rules satisfy subject reduction unconditionally

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But this equation holds when l_1 and l_2 are replaced by concrete numbers

Solution We can still show subject reduction for the *guarded terms* where each occurrence of π is of the form $\pi_{\underline{n_1}, \underline{n_2}}^{n_0}$ for $n_0, n_1, n_2 \in \mathbb{N}$

Such terms are the only ones we need in the encoding

Soundness and Conservativity

The translation function

Problem Defining translation function $\llbracket - \rrbracket : \text{CC} \rightarrow \text{DEDUKTI}$ is hard

Cumulativity is implicit in CC, so $\llbracket - \rrbracket$ needs to figure out where to insert lifts $\uparrow$

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Solution We state soundness using a (partial) *inverse translation function*:

$$| - | : \text{DEDUKTI} \dashrightarrow \text{CC}$$

$$|x| := x \qquad |\pi_{\underline{n}, \underline{m}}^0 A (\lambda x. B)| := \Pi x : |A|. |B|$$

$$|\underline{u}_n| := \cup_n \qquad |\uparrow_n D t| := |t|$$

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Define the *object terms* as the DEDUKTI terms t for which $|t|$ is defined

Soundness

The main lemma:

Coherence For t_1, t_2 object terms with $\Gamma \vdash t_i : A$, if $|t_1| = |t_2|$ then $t_1 \equiv t_2$

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The direct translation function can then be extract from the proof

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Using inverse translation function, conservativity becomes

If $|\Gamma| \vdash_{\text{CC}} |A| : U_n$ and $\Gamma \vdash t : \text{El}_{\underline{n}} A$ then $|\Gamma| \vdash_{\text{CC}} t' : |A|$ for some t'

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It should be possible to prove full conservativity (see concl. of the paper)

Conclusion

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CONSERVATIVITY	✓★

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Thank you!